

DEPARTMENT OF CORRECTIONS

IMPLEMENTATION OF SECURITY AND SAFETY
RECOMMENDATIONS AND CHAPTER 1020/72
EXPENDITURES

Joint Legislative Audit Committee

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April 25, 1973

Honorable Pauline L. Davis
Assemblywoman, 1st District
Room 4148, State Capitol
Sacramento, California 95814

Dear Pauline:

Transmitted herewith is a report on the review of progress which the Department of Corrections made in implementing the recommendations of its security and safety survey and the review of expenditures charged against the special fund appropriated under Chapter 1020, Statutes of 1972.

The Auditor General reviewed nine of the twelve correctional institutions covered by the security and safety survey and found that:

- The institutions have implemented only six of the sixty recommendations pertaining to these nine institutions in the seven months since the August 1972 enactment of Chapter 1020, Statutes of 1972. (Eight of the sixty recommendations had been completed prior to the enactment of the statute making a total of fourteen completed as of March 1973.
- Approximately half of the special appropriation was unencumbered as of March 30, 1973.

With my warm best wishes,

Sincerely,



VINCENT THOMAS, Chairman
Joint Legislative Audit Committee

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INTRODUCTION

In response to a legislative request, we have reviewed the records and reports of the Department of Corrections to determine:

1. What progress has been made toward implementing the recommendations of the December 1971, "Department of Corrections Security and Safety Survey".

2. What expenditures have been charged to Chapter 1020/72, which contained a special appropriation of \$374,775 for implementation of 70 recommendations of the security and safety survey.

Because the accounting records were decentralized and maintained at the institutions, we had to visit the institutions separately to perform our review. Of the 12 institutions covered by the survey, we visited nine, as follows:

<u>Institution</u>	<u>Location</u>
California Conservation Center	Susanville
California Institution for Men	Chino
California Institution for Women	Frontera
California Medical Facility	Vacaville
California Rehabilitation Center	Corona
Correctional Training Facility	Soledad
Deuel Vocational Institution	Tracy
Folsom State Prison	Represa
San Quentin State Prison	San Quentin

These institutions represented \$286,174 or 76 percent of the total budgeted expenditures of \$374,775 appropriated under Chapter 1020, Statutes of 1972 and 60 of the 70 recommendations.

We found that the correctional institutions which we visited have implemented only six of the 60 recommendations in the seven months since the enactment of Chapter 1020/72 and that approximately half of the appropriation had not been encumbered or expended as of March 30, 1973. Eight of the recommendations had been implemented prior to the enactment of the special appropriation.

SUMMARY OF FINDINGS

1. NOT ALL OF THE RECOMMENDATIONS REPORTED AS COMPLETE BY THE DEPARTMENT OF CORRECTIONS ON FEBRUARY 27, 1973 WERE ACTUALLY FOUND TO BE COMPLETE AT THE TIME OF OUR MARCH 1973 REVIEW. (Page 8)
2. THE CALIFORNIA CONSERVATION CENTER IN SUSANVILLE HAS DEFERRED MOST OF ITS SECURITY AND SAFETY PROJECTS PENDING CLOSURE OF THE FACILITY. (Page 10)
3. SAN QUENTIN STATE PRISON HAS SUBSTITUTED ITS OWN VERSION OF THE SECURITY AND SAFETY PACKAGE AND IS PRESENTLY AWAITING APPROVAL OF THE PACKAGE BY THE DIRECTOR. (Page 10)
4. SEVERAL INSTITUTIONS HAVE DETERMINED SOME OF THE RECOMMENDATIONS TO BE "NOT NEEDED". (Page 12)
5. ONLY HALF OF THE SPECIAL APPROPRIATION HAD BEEN EITHER SPENT OR ENCUMBERED AT THE NINE INSTITUTIONS WE VISITED. (Page 13)

BACKGROUND

ATTEMPTED ESCAPE AT SAN QUENTIN STATE PRISON - AUGUST 21, 1971

On August 21, 1971, three correctional officers and two inmates were killed in an escape attempt at San Quentin State Prison. Following this incident, Governor Reagan on September 8, 1971, issued an executive order (number R-33-71) directing the Board of Corrections:

"to review the August 21 (1971) escape attempt at San Quentin and other incidents of violence which have occurred within the last two years at San Quentin, Soledad, Folsom and other correctional institutions in the State of California; and to make recommendations regarding correctional officer safety, unnecessary exposure of correctional officers to danger, inmate security, inmate handling procedures, communications with prisoners, including personal visits, and any other procedures which will halt the violence and the attempts by radical elements and violent offenders to disrupt the orderly processes of our correctional system."

BOARD OF CORRECTIONS ISSUED ITS "REPORT TO GOVERNOR RONALD REAGAN ON VIOLENCE IN CALIFORNIA PRISONS" - OCTOBER 9, 1971

The board made the review requested by the Governor in his September 8, 1971 executive order and on October 9, 1971 issued their "Report to Governor Ronald Reagan on Violence in California Prisons". This report contained ten recommendations for improving correctional officers' and inmates' safety.

Recommendation number 6 in the list of ten recommendations was to: "Continue the intensive security review of all institutions by the Human Relations Agency Task Force on corrections security under the direction of C.O. Lynum, Vice Chairman of the Adult Authority".

"SECURITY AND SAFETY SURVEY"
REPORT ISSUED - DECEMBER 1971

During November 1971, Mr. Lynum, with assistance from Mr. Clyde E. Walthall of the Human Relations Agency secretary's staff, conducted the review of correctional facilities for security and safety of officers and inmates. This review, dated December 1971, became known as the "Security and Safety Survey of the Department of Corrections" and resulted in 27 statewide recommendations plus a separate list of recommendations for each institution.

PRIORITY LIST OF ADDITIONAL COST ITEMS
SENT TO HUMAN RELATIONS AGENCY - MAY 12, 1972

At the request of Mr. Thomas McMurray, Assistant to the Secretary of the Human Relations Agency, a priority list of the items of additional cost contained in the survey was prepared by the Department of Corrections. This priority list which was transmitted to Mr. McMurray in a May 12, 1972, letter from the Department of Corrections estimated the total additional funds needed to implement the survey's recommendations to be \$4.8 million broken down by priority classification as follows:

"Mandatory"	\$ 966,549
"Necessary"	3,405,368
"Desirable"	<u>462,150</u>
	<u>\$4,834,067</u>

Further refinement and evaluation of the highest priority items by the Department of Finance and the Legislative Analyst's office reduced the estimated amount needed from \$966,549 to \$374,775 which was the amount subsequently appropriated under a special urgency measure signed in August 1972.

CHAPTER 1020, STATUTES OF
1972, WAS ENACTED AS AN URGENCY
MEASURE TO PROVIDE FUNDS TO
IMPLEMENT THE SECURITY AND SAFETY
SURVEY RECOMMENDATIONS - AUGUST 17, 1972

Section 6 of Assembly Bill (AB) 1979, appropriated \$374,775 to the Department of Corrections "for the purchase and installation of security equipment in state correctional facilities". This bill was co-authored by Assemblymen Biddle, Brown, Burton, Lanterman, MacDonald, and Miller and Senator Lagomarsino. It was introduced to the State Assembly on March 15, 1972 and was signed by the Governor and recorded by the Secretary of State as Chapter 1020 on August 17, 1972.

Chapter 1020/72 was adopted as an urgency measure in order that, as stated in Section 7 of the act, "...the purchase of the security equipment so authorized be made at the earliest possible date, thus alleviating disturbances in correctional institutions..."

IMPLEMENTATION OF THE
SECURITY AND SAFETY SURVEY RECOMMEN-
DATIONS BY THE DEPARTMENT OF CORRECTIONS

On August 28, 1972, the Department of Corrections sent to the superintendent or warden of each correctional institution a memorandum notifying them that the Governor had "signed AB 1979 providing an appropriation of \$374,775... for personal alarm devices and other security construction and equipment". The memorandum included "a complete list of items included in the appropriations" (by institution), and advised that the institutions "may proceed with the installation of the security measures".

Several institutions responded that they wished to substitute or delete certain items on their lists. The department answered on September 6, 1972, that because the appropriation was based on the survey recommendations, no substitution should be made without the director's approval.

During September and October, the institutions sent to the director's office their reactions to the survey recommendations and their plans for substituting or deleting certain projects or items included in the original lists. The plans included justifications for the changes, some of which are discussed in the "findings" section of this report.

FINDINGS

1. NOT ALL OF THE RECOMMENDATIONS REPORTED AS COMPLETE BY THE DEPARTMENT OF CORRECTIONS ON FEBRUARY 27, 1973, WERE ACTUALLY FOUND TO BE COMPLETE AT THE TIME OF OUR MARCH 1973 REVIEW.

On February 27, 1973, in response to a request by Assemblywoman Pauline Davis, the department prepared a status report of the 70 recommendations and sub-recommendations which constituted the special appropriation of \$374,775. The following represent the status reported by the department to Assemblywoman Davis as of that date.

Completed	30
Not completed	21
Deferred	7
Substituted	5
Not needed	<u>7</u>
Total recommendations	<u>70</u>

During March 1973, we reviewed nine of the 12 institutions covered by the survey. Of the 70 recommendations, 60 were for the nine institutions we visited. An analysis of the statuses of the 60 recommendations, as of the two dates, for the institutions that we visited follows (a more detailed analysis is contained in Appendix A):

	<u>Per Department February 27, 1973</u>	<u>Per Audit March 30, 1973</u>	<u>Increase (Decrease)</u>
Completed	25	14	(11)
Not completed	17	26	9
Deferred	7	5	(2)
Substituted	5	3	(2)
Not needed	<u>6</u>	<u>12</u>	<u>6</u>
	<u>60</u>	<u>60</u>	<u>0</u>

A discrepancy exists between the "completed" and the "not completed" statuses. The February 27, 1973 status showed eleven recommendations as completed when, in fact, they were either "not completed" or "not needed". The majority of the misclassification occurred in the California Institute for Men where the statuses of six of the eight recommendations or sub-recommendations were originally reported in error. The budgeted allotments involved were, however, relatively small. The largest budget item whose status was misclassified occurred at Folsom State Prison where a \$23,000 project for constructing safety areas at two of its towers was reported as "completed" when the project has not yet been started.

Furthermore, of the 14 recommendations which were "completed" per audit, eight were actually completed prior to the August 1972 enactment of Chapter 1020/72 and funded either out of the prior-year or current support budget. This was possible because the survey itself was conducted in November 1971. The effect of the prior completions on the special appropriation fund is that approximately \$49,600 would be available for other projects which were recommended in the survey but were of lower priority. Some of the available

funds have already been incorporated in substitution packages proposed to and pending approval by the director.

The fact that eight projects were completed prior to the enactment of Chapter 1020/72 means that only six have been completed during the seven months since August 1972.

2. THE CALIFORNIA CONSERVATION CENTER IN SUSANVILLE HAS DEFERRED MOST OF ITS SECURITY AND SAFETY PROJECTS PENDING CLOSURE OF THE FACILITY.

In December 1972, the department decided upon closing the correctional facility at Susanville. After considerable opposition to the closure was raised, the department deferred indefinitely the initiation of the gradual closure which was originally scheduled to begin February 1, 1973 and end June 30, 1973. The final decision as to whether or not the facility will be closed was to be made by July 1, 1973.

Of the \$24,232 allotted to this institution for urgent projects, \$22,050 was for projects which were deferred pending closure of the facility. These projects include personal security devices for \$12,000 and the elevation and installation of safety glass on Tower No. 2 for \$7,500.

3. SAN QUENTIN STATE PRISON HAS SUBSTITUTED ITS OWN VERSION OF THE SECURITY AND SAFETY PACKAGE AND IS PRESENTLY AWAITING APPROVAL OF THE PACKAGE BY THE DIRECTOR.

Items for San Quentin State Prison included in the special appropriation totaled \$46,657 as follows:

1.	Bullet-proof glass for Tower No. 8	\$ 800.00
2.	Security of perimeter: floodlights and jeep-type patrol vehicles	33,800.00
3.	Extend bullet-proof window, 1st floor sally port, double locks and test voice mike, adjustment center	1,575.00
4.	Personal safety devices for education- building personnel	6,600.00*
5.	Personal security device - Industries	2,000.00
6.	Replace door to South Block segregation unit	450.00
7.	Six bullet-proof jackets and police helmets	<u>1,432.00</u>
	Total	<u>\$46,657.00</u>

*Installed prior to receipt of the special appropriation and paid for out of the prior-year support appropriation.

The staff at San Quentin State Prison, upon receiving notice from the Department of Corrections of the \$46,657 security and safety package allocated to their institution, reviewed the package and on March 1, 1973, submitted their own alternative proposal for accomplishing the objectives of the survey recommendations.

The substitution proposal, which is not yet approved, is estimated to cost \$55,697 - \$9,040 more than the original allotment. The increase results from the following:

-	Inclusion of survey recommendations which were not considered as priority items by the department and not a part of the special appropriation	\$29,047
-	Net reduction in estimated cost of items in the original package due to material changes	(13,407)
-	Funds not needed in the original package because the project was completed using prior-year funds (personal security devices for education building personnel)	<u>(6,600)</u>
	Net increase	<u>\$ 9,040</u>

4. SEVERAL INSTITUTIONS HAVE DETERMINED SOME OF THE RECOMMENDATIONS TO BE "NOT NEEDED".

Our review of the status of recommendations found that 12 of the 60 recommendations pertaining to the 9 institutions we visited were classified as "not needed" by the institutions. There was no substitution planned for these recommendations. The amount included in the special appropriation for these recommendations was \$5,797.

Five of the recommendations were made for the installation of panic buttons in various locations at the California Institution for Men in Chino and California Institution for Women in Frontera. The institutions believed that the existing system consisting of no-dial alarm on telephones was adequate to the extent that panic buttons were not necessary.

The other seven recommendations were considered "not needed" due to changes in conditions since the field survey and to disagreements as to feasibility by management at the institution level.

5. ONLY HALF OF THE SPECIAL APPROPRIATION HAD BEEN EITHER SPENT OR ENCUMBERED AT THE NINE INSTITUTIONS WE VISITED.

Appendix A shows a detailed breakdown of the budgeted allotments and the encumbered or expended cost charged to the special appropriation account under Chapter 1020/72. In the nine institutions visited during March 1973, we found that \$142,715 has been charged to the special account, as recapped below:

	<u>Budgeted Allotments</u>	<u>Encumbrances or Expenditures</u>	<u>Unencumbered Balances</u>
California Conservation Center	\$ 24,232	\$ 2,319	\$ 21,913
Deuel Vocational Institution	40,924	12,888	28,036
Correctional Training Facilities	47,957	27,312	20,645
Folsom State Prison	66,797	44,312	22,485
California Institution for Men	13,632	11,335	2,297
California Medical Facility	28,582	27,548	1,034
San Quentin State Prison	46,657	5,118	41,539
California Institution for Women	15,062	9,600	5,462
California Rehabilitation Center	<u>2,331</u>	<u>2,283</u>	<u>48</u>
Total	<u>\$286,174</u>	<u>\$142,715</u>	<u>\$143,459</u>
	<u>100.0%</u>	<u>49.9%</u>	<u>50.1%</u>

As shown above, the amount encumbered or expended represents approximately half of the total budgeted allotment in the nine institutions. The largest item recommended in the security and safety survey was that for personal security devices which were estimated to cost \$170,476 or 60 percent of the total budgeted allotment for the nine institutions. The personal security devices which most institutions are planning to install are complete alarm systems manufactured by Conley Enterprises of El Dorado Hills. This alarm system is wired throughout the institution and can be activated by a transmitter which would be carried by the officers and used when an emergency arises. The transmitter operates similarly to a commercial garage-door opener. As of March 30, 1973, approximately \$73,000 has been encumbered or expended by the institutions for personal security devices.

In the above tabulation, except for Folsom State Prison, all the unencumbered balances in excess of \$20,000 include recommendations for personal security devices that the institutions have not yet acted upon. The majority of the unencumbered allotment for Folsom State Prison was for the construction of safety areas in two of its towers costing approximately \$23,000.

In our review of the accounting records at the institutions visited, we found that the purchase estimates, purchase orders, and invoices which were presently charged to the special appropriation account were legitimate encumbrances and expenditures except for approximately \$8,400. The \$8,400 unauthorized charges were found at Folsom State Prison (\$7,400) and California Institution for Men (\$1,000). Folsom spent \$7,400 on plumbing and other items unrelated to security which was charged to the special appropriation. Folsom officials explained that this was done to offset the cost of other materials taken from inventory and used on security or safety projects. The inventory items are charged to the support appropriation when purchased.

At the California Institution for Men, \$1,000 of the special appropriation was transferred from the special appropriations account to the general support account where it was used to purchase grenades, materials for security vehicles, spotlights and certain other items not included among the survey recommendations.



Walter J. Quinn
Acting Deputy Auditor General

April 10, 1973

Staff: John McConnell
Robert Neves
Ralph Sugimoto

APPENDIX A

Status of Security and Safety Survey
2-27-73 and 3-30-73

	<u>Budgeted</u>	<u>Status</u>	<u>2-27-73</u>	<u>3-30-73</u>	
	<u>Expenditures</u>	<u>Comments</u>	<u>or</u>	<u>Per Audit</u>	<u>Comments</u>
CALIFORNIA CONSERVATION CENTER:					
1. Sally Port Gate for the visiting room	\$ 350		Project deferred		Not completed - Materials in storage
2. Personal security devices	12,000			\$ 619.79	Deferred - pending closure
3. Install two grill gates in hospital to segregate inmates	400			-	Not needed - Change in condition
4. Install override controls on patio yard/foyer sally port for separate control	150			-	Deferred - pending closure
5. Tower No. 2 -- elevate and install safety glass	7,500			1,000.00	Deferred - pending closure
6. North Coast Conservation Center Provide camp vehicles with security similar to police vehicles	2,400			-	Deferred - pending closure
7. Six bullet-proof jackets and police helmets	<u>1,432</u>			<u>699.30</u>	Completed - Helmets not needed
	<u>\$24,232</u>			<u>\$2,319.09</u>	
SIERRA CONSERVATION CENTER:					
1. Walk through metal detector	\$2,200		Project completed		
2. Alarm button in isolation	200		Project not completed		Not visited

APPENDIX A cont.

	<u>Budgeted Expenditures</u>	<u>Status 2-27-73</u> <u>Comments</u>	<u>Status 3-30-73 Per Audit</u> <u>Encumbered or Expended</u>	<u>Comments</u>
3. Six bullet-proof jackets and police helmets	\$ 1,432	Project completed	Not visited	
4. Personal security devices	<u>10,000</u>	Project not completed		
	<u>\$13,832</u>			
<u>DEUEL VOCATIONAL INSTITUTION:</u>				
1. Establish an emergency exit for the second floor of the Administration Building	\$ 450	Project completed	-	Completed - support fund
2. Relocate stairway-vocational shop area	3,700	Project not completed	\$3,162.50	Not completed
3. Provide sally port entrance inside the adjustment center	1,725	Project completed	175.35	Completed - prior to survey
4. Install bullet-proof glass - Entrance Gate Tower	1,000	Project completed	981.34	Completed
5. Furnish baker with warning device - bakery	200	Project not completed	-	Not completed
6. Provide glass separation and telephone communications - Segregation Unit visiting room	200	Project completed	344.61	Completed - prior to survey
7. North Corridor shop entrance: Install walk-through metal detector	2,400	Project completed	2,286.90	Not completed
8. Extend control-room hallway window	520	Project not completed	2,083.20	Not completed

APPENDIX A cont.

	Budgeted Expenditures	Status <u>Comments</u> 2-27-73	Status 3-30-73 Per Audit	
			Encumbered or Expended	<u>Comments</u>
9. Six bullet-proof jackets and police helmets	\$ 1,432	Project not needed	-	Substituted - not needed
10. Personal security devices	29,297	Project not completed	\$ 3,854.05	Deferred - pending review of alarm systems
	<u>\$40,924</u>		<u>\$12,887.95</u>	
CORRECTIONAL TRAINING FACILITY:				
<u>Central</u>				
1. Relocate and remodel main yard shack and install locked phone	\$ 1,925	Project completed	-	Not needed - Change in use of shack.
2. Personal security devices	21,000	Prior to funding	-	Completed - Prior year funds
3. Implement staff plan to modify "O" Wing entrance	1,800	Project not completed	-	Not completed - Project incorporated in 73-74 Fy budget.
4. Bullet-proof glass for vulnerable towers	1,000	Project not completed - inadequate funds	-	Deleted
5. Six bullet-proof jackets and police helmets	1,432	Project completed	\$ 1,354.50	Completed
<u>North</u>				
6. Personal alarm devices--gymnasium, education areas and MTA's	16,000	Project completed prior to funding	-	Completed - prior year funds
7. Walk-through metal detector -- Vocational Education Building: Require all inmates to pass through	2,400	Project completed	12,318.03	Not completed
8. Walk-through metal detector for North-front foyer	2,400	Project completed	-	Not completed
Others	-		<u>13,639.39</u>	Not completed - substitution items
	<u>\$47,957</u>		<u>\$27,311.92</u>	

APPENDIX A cont.

	<u>Budgeted Expenditures</u>	<u>Status 2-27-73</u> <u>Comments</u>	<u>Status 3-30-73 Per Audit</u> <u>Encumbered or Expended</u>	<u>Comments</u>
STATE PRISON AT FOLSOM:				
1. Metal detector devices:				
a. Replace entrance gate unit and add hand unit at this location	\$ 2,400	Project completed	-	Completed - Prior year funds
b. Provide hand unit for use in several other locations	150	Project completed	-	Not completed
c. Install new walk-through and hand device to the West Gate	2,400	Project completed	\$ 2,310.00	Completed - Installation needed
2. Install sally port in hospital psychiatric ward	460	Project not completed	-	Not needed - ward no longer exists
3. Replace wire mesh in visiting rooms with solid glass and appropriate communications	1,200	Project completed	-	Completed - support funds
4. Construct safety areas in Towers 13 and 8	23,000	Project completed	-	Not completed - materials on order
5. Personal security devices	35,755	Project not completed	-	Not completed - materials on hand
6. Six bullet-proof jackets and police helmets	1,432	Project not completed	28,161.07	Completed - Quantity reduced to 4
Others	-			Not completed - of these, approximately \$7,400 are not related to security
	<u>\$66,797</u>			
	\$200	Project completed	\$346.49	Not completed
CALIFORNIA INSTITUTION FOR MEN:				
<u>Main Institution</u>				
1. Install panic buttons at the following locations:				
a. Main gate				

	<u>Budgeted Expenditures</u>	<u>Status 2-27-73</u> <u>Comments</u>	<u>Status 3-30-73 Per Audit</u> <u>Encumbered or Expended</u>	<u>Comments</u>
b. Maximum ward in the hospital	\$ 200	Project completed	-	Not to be installed
c. Typing pool	200	Project completed	-	Not to be installed
d. Southern Reception Guidance Center	200	Project completed	-	Not to be installed
2. Six bullet-proof jackets and police helmets	1,432	Project completed	\$ 1,455.30	Not completed
<u>Reception Guidance Center</u>				
3. <u>Guidance Center Entrance:</u> Install electric grill and bullet-proof glass in hallway	1,000	Project completed	1,012.05	Not completed
<u>Southern Conservation Center</u>				
4. Install alarm system on wire mesh safety glass at the entrance	400	Project not completed	273.24	Not completed
5. Personal security devices	10,000	Project not completed	8,247.33	Not completed
	<u>\$13,632</u>		<u>\$11,334.41</u>	
<u>CALIFORNIA MEDICAL FACILITY:</u>				
1. Security sanctuary -- "S" Wing recreation yard	\$ 150	Project not needed	-	Not needed
2. Personal security devices	27,000	Project not completed	\$26,602.67	Not completed
3. Six bullet-proof jackets and police helmets	1,432	Project completed	945.16	Completed
	<u>\$28,582</u>		<u>\$27,547.83</u>	

APPENDIX A cont.

	<u>Budgeted Expenditures</u>	<u>Status 2-27-73</u> <u>Comments</u>	<u>Status 3-30-73 Per Audit</u> <u>Encumbered or Expended</u>	<u>Comments</u>
CALIFORNIA MENS COLONY:				
1. Walk-through metal detector--- industries turnstile area	\$ 2,400	Project completed		
2. Bullet-proof glass for strategically located towers	4,800	Project completed	Not visited	
3. Personal security devices	39,705	Project not completed		
4. Six bullet-proof jackets and police helmets	<u>1,432</u> <u>\$48,337</u>	Project not needed		
STATE PRISON AT SAN QUENTIN:				
1. Bullet-proof glass for Tower No. 8	\$ 800	Project substituted	-	Substituted - not to be installed
2. Security of perimeter - flood-lights, and jeep-type patrol vehicle	33,800	Project substituted	\$5,117.79	Not completed - partial substitution
3. Extend bullet-proof window, 1st Floor sally port, double locks and test voice mike, Adjustment Center	1,575	Project substituted	-	Substituted - not to be installed
4. Personal safety devices for education building personnel	6,600	Project completed	-	Completed - prior year fund
5. Personal security device -- Industries	2,000	Project completed	-	Not completed - to be expended to other areas
6. Replace door to South Block segregation unit	450	Project not completed	-	Not completed
7. Six bullet-proof jackets and police helmets	<u>1,432</u>	Project substituted	-	Not completed
	<u>\$46,657</u>			<u>\$5,117.79</u>

	<u>Budgeted</u> <u>Expenditures</u>	<u>Status</u> 2-27-73 <u>Comments</u>	<u>Status</u> 3-30-73 Per Audit <u>Encumbered</u> or <u>Expended</u>	<u>Comments</u>
CALIFORNIA INSTITUTION FOR WOMEN:				
1. Install a walk-through metal detector - main entrance area	\$ 3,800	Project not completed	\$4,665.00	Not completed
2. Personal security devices	10,000	Project not completed	4,935.00	Not completed
3. Install panic button in visiting room.	50	Project not needed	-	Not to be installed
4. Install panic button at Hospital Nurses Station	200	Project not needed	-	Not to be installed
5. Equip guard office with bullet-proof glass -- East sally port.	100	Project not needed	-	Not to be installed
6. Four bullet-proof jackets and police helmets	<u>912</u>	Project not needed	-	Not to be installed
	<u>\$15,062</u>		<u>\$9,600.00</u>	
CALIFORNIA REHABILITATION CENTER				
1. Hospital				
a. Issue personal security device to all personnel	\$ 824	Project not completed	\$1,200.00	Not completed
b. Install panic buzzer-nurses office	75	Project completed	75.00	Not completed
2. Six bullet-proof jackets and police helmets	<u>1,432</u>	Project not completed	<u>1,008.00</u>	Not completed
	<u>\$2,331</u>		<u>\$2,283.00</u>	

APPENDIX A cont.

	<u>Budgeted Expenditures</u>	<u>Status 2-27-73</u> <u>Comments</u>	<u>Status 3-30-73 Per Audit</u> <u>Encumbered</u> or <u>Expended</u>	<u>Comments</u>
CALIFORNIA CORRECTIONAL INSTITUTION:				
1. Personal security devices	\$25,000	Project not completed		Not visited
2. Six bullet-proof jackets and police helmets	<u>1,432</u> <u>\$26,432</u>	Project completed		
Totals	<u>\$374,775</u>			<u>\$142,714.03</u>

Assembly Bill No. 1979

CHAPTER 1020

An act to authorize participation by the Department of the Youth Authority and the Department of Corrections in a cooperative experimental correctional program, and making an appropriation to the Department of Corrections for security equipment in state correctional facilities, and declaring the urgency thereof, to take effect immediately.

[Approved by Governor August 17, 1972. Filed with Secretary of State August 17, 1972.]

LEGISLATIVE COUNSEL'S DIGEST

AB 1979, Biddle. Correctional services and facilities.

Authorizes Department of Youth Authority and Department of Corrections to contract with various other public entities for mutual provision of custodial, diagnostic, rehabilitative, probation, parole, and any other services required to participate in the cooperative experimental correctional program, described as the Ventura County Unified Correctional System. Authorizes state, where care is provided under such contractual agreement, to reimburse other governmental units for services to California prisoners from funds appropriated for the support of such prisoners. Authorizes use of designated diagnostic services of Youth Authority for juvenile participants in project, without charge to the using agency.

Requires that funds for project be obtained from California Council on Criminal Justice or such other funding agency as is appropriate.

Requires submission to Secretary of Human Relations Agency of specified reports at designated times.

Declares that term of project is 2 years. Authorizes continuation thereafter of project if necessary operating funds are obtained.

Appropriates \$374,775 to the Department of Corrections for purchase and installation of security equipment in state correctional facilities.

To take effect immediately, urgency statute.

The people of the State of California do enact as follows:

SECTION 1. It is the purpose and intention of the Legislature, in enacting this act, to improve the correctional and rehabilitative process. The Legislature finds that, at present, the correctional functions are fragmented between echelons of government and between units of government on the same level. To this end, the demonstration of a correctional systems approach would be of great value. The County of Ventura has expressed its interest in conducting such a demonstration effort in cooperation with the Department of Corrections and the Department of the Youth Authority, as well as

federal government and local jurisdictions within the county.

SEC. 2. The Department of the Youth Authority and the Department of Corrections shall contract with the County of Ventura and with such other political subdivisions within such county as may desire to participate, and with the federal government, if it desires to participate, for the mutual provision of custodial, diagnostic, rehabilitative, probation, parole, and any other services required to participate in a cooperative experimental correctional program, described as the Ventura County Unified Correctional System Demonstration Project.

SEC. 3. Where care is provided under a contractual agreement provided for in this act, the state may reimburse other governmental units for services to California prisoners from funds appropriated for the support of such prisoners.

SEC. 4. Use may be made of the 90-day diagnostic referral of juveniles program, as conducted by the Youth Authority under Section 704 of the Welfare and Institutions Code, for juvenile participants in the experimental project provided for in this act, without charge to the using agency.

SEC. 5. (a) The funds for the project provided for in this act shall be obtained from the California Council on Criminal Justice or such other funding agency as shall be appropriate.

(b) The term of such project shall be two years. However, nothing in this act shall preclude the Department of the Youth Authority, the Department of Corrections, or other entities engaged in the project from continuing the relationship and system created under this act as an operational program, if necessary operating funds are obtained therefor.

(c) Reports on the progress of the demonstration project shall be made to the Secretary of the Human Relations Agency not later than one year after the effective date of this act, with a supplemental report not later than the 20th month after such effective date. A final report shall be submitted within three months after the termination of the project. The reports shall be compiled and submitted by the contracting parties, jointly, or, in the event of the failure thereof, by the Department of Corrections.

SEC. 6. The sum of three hundred seventy-four thousand seven hundred seventy-five dollars (\$374,775) is hereby appropriated from the General Fund to the Department of Corrections for the purchase and installation of security equipment in state correctional facilities.

SEC. 7. This act is an urgency statute necessary for the immediate preservation of the public peace, health or safety within the meaning of Article IV of the Constitution and shall go into immediate effect. The facts constituting such necessity are:

In order that the programs authorized by this act be implemented at the earliest possible date, thus alleviating the dangerously overcrowded conditions in many correctional facilities, and in order

that the purchase of the security equipment so authorized be made at the earliest possible date, thus alleviating disturbances in correctional institutions, it is necessary that this act go into immediate effect.

o

State of California

Memorandum

To : Honorable Verne Orr
Director of Finance
State Capitol
Sacramento, California

Date : March 7, 1973

Place : Sacramento

Attention: Charles C. Harper
Program Budget Manager

From : STATE CONTROLLER'S OFFICE
DIVISION OF ACCOUNTING
S. J. Cord, Chief

Subject: Proposed Plan of Financial Adjustment -- Allocation of Expenditures between the Support Appropriation and a Special Appropriation (Chapter 1020/72) Available to the Department of Corrections.

Attached is a copy of the above proposed plan of financial adjustment which has been submitted to this office for approval under the provisions of Section 11251 of the Government Code.

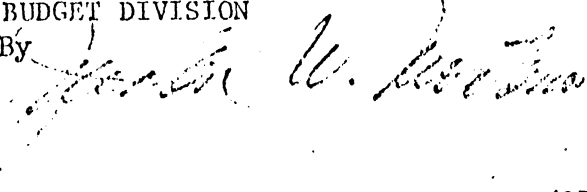
This proposed plan is submitted for consultation with the Department of Finance as provided by said code section.

If, after reviewing the plan, you have any comments or suggestions, this office would be pleased to receive them. If the plan as proposed is satisfactory, please so indicate by signature in the space provided below.

Please return the attached data with your reply. A copy of the plan as finally adopted will be furnished to you upon its approval by this office.



S. J. Cord

APPROVAL RECOMMENDED:
BUDGET DIVISION
By 

Memorandum

ATTACHMENT #2
MSL 73/

To: Mr. Houston I. Flournoy
State Controller
1227 O Street
Sacramento, California 95814

Attn: Mr. S. J. Cord, Chief
Division of Accounting

From: Department of Corrections, Sacramento 95814

Date: March 5, 1973

File No.:

Subject: Plan of Financial
Adjustment; Allocation of
Expenditures Between the
Support Appropriation and a
Special Appropriation
(Chapter 1020/72) Available
To the Department of Correctic

We request that you approve the following Plan of Financial Adjustment under Section 11251, Government Code:

1. This Plan of Financial Adjustment will begin on August 17, 1972 and remain in effect thereafter from year to year until amended or rescinded.
2. As used herein, the following terms have the meaning set forth below:
 - (a) "Agency" means the Department of Corrections.
 - (b) "Support" means General Fund current support appropriation in the Budget Act.
 - (c) "Special Appropriation" means the appropriation made by Chapter 1020/72 from the General Fund.
 - (d) "Covered Expenditures" means the expenditures incurred by the "Agency" properly chargeable to the "Special Appropriation".
3. All covered expenditures may be paid, in the first instance, from "Support".
4. On the basis of data set forth in the records maintained by the "Agency" and in accordance with the system of cost allocation employed by it, the "Agency" will determine and record the amounts expended from "Support" which are properly allocable to the "Special Appropriation".
5. The "Agency" may periodically file a request to transfer charges from "Support" which are properly chargeable to the "Special Appropriation".
6. The "Agency" may file requests with the State Controller at any time after the end of any period covered by a request for transfer hereunder, to make transfers between the appropriations covered by this plan, on the basis of adjustments made by the "Agency" after the close of such period.

7. Requests for transfer under this plan will be accompanied by such supporting detail as the State Controller may require.

Requests for transfers under this plan will be addressed to the State Controller, Division of Accounting, 1227 O Street, Room 500, Sacramento, and will be certified by an authorized officer of the Department of Corrections in the following language:

"I hereby certify under penalty of perjury that this request for transfer reflects true and correct amounts in accordance with an approved Plan of Financial Adjustment for the period covered by said request."

DEPARTMENT OF CORRECTIONS

BY _____

(Accounting Officer)

(Institution)

H. E. Watts

H. E. WATTS
Assistant Deputy Director
Management Services

ACCOUNTING OFFICERS
Mr. Houston I. Flourney
State Controller
1227 O Street, Room 500
Sacramento, California 95814

ATTENTION: Mr. S. J. Cord, Chief
Division of Accounting

SUBJECT: Continuing Plan of
Financial Adjustment as Approved
by the State Controller on
March 7, 1973

In accordance with subject plan, please make the following transfer:

Charge Special Appropriation:

Purchase and installation of security
equipment in State Correctional
Facilities

Controller's Account No.
001-131-772-204-00-00

Expenditures

\$ _____

Credit: _____ (Institution) _____

General Fund, Item 220, Chap. 156/72

Controller's Account No.
001-(Agency)-001-51-00

Estimated Reimbursements

\$ _____

"I hereby certify under penalty of perjury that this request for transfer
reflects true and correct amounts in accordance with an approved Plan of
Financial Adjustment for the period covered by said request."

by _____
Accounting Officer

Institution

INSTITUTIONS

Department of Corrections

