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Recommendation Status Summary

Assembly Budget Subcommittee 2 on Education Finance

Report Number I2019-2

Investigations of Improper Activities by State Agencies and Employees: Inefficient Management of State Resources, Misuse of State Time and Inaccurate Attendance Records, and Inadequate Supervision (April 2019)

RECOMMENDATION	ENTITY	STATUS OF RECOMMENDATION
6. The California State University (CSU) campus should take immediate and appropriate disciplinary action against the police officer for failing to be fully prepared to perform her duties and for inaccurately reporting the hours she worked while in the investigation unit; consider deducting her leave balances for the hours during which she was not performing her duties.	California State University	Fully Implemented
7. The CSU campus should take immediate and appropriate disciplinary action against Sergeant I for approving and allowing the police officer to remove part of her uniform and to lie down while on duty, as well as for his failure to perform his supervisory duties.	California State University	Fully Implemented
8. The CSU campus should develop a written policy within 90 days that explains how and when the police department expects employees to check in and out of their shifts. The policy should specify where all employees should be when they check in and out—for example, it should state whether the employees should be physically on campus.	California State University	Fully Implemented
9. The CSU campus should provide training within 90 days to all police department staff that covers relevant sections of the police department policy manual, including the following requirements for all uniformed police department employees: <ul style="list-style-type: none"> • Employees should be prompt, prepared, and ready to work on assignments. • Employees should be punctual in reporting to a duty station or assignment. • Employees should not leave before the end of their scheduled hours. 	California State University	Fully Implemented
10. The CSU campus should remind supervisors immediately of their responsibility to identify and document when employees are perceived to be unable to perform their duties because of physical conditions, as outlined in applicable policies.	California State University	Fully Implemented

Report Number 2018-131

Alum Rock Union Elementary School District: The District and Its Board Must Improve Governance and Operations to Effectively Serve the Community (May 2019)

RECOMMENDATION	ENTITY	STATUS OF RECOMMENDATION
1. To ensure that it selects the most qualified firms at fair and reasonable prices to perform its contracted architectural services and construction management services, the Alum Rock Union Elementary District (district) Board of Trustees (board) should follow the requirements of state law and its own policies in such selections.	Alum Rock Union Elementary School District	Fully Implemented
2. To preclude a situation where a contractor oversees its own work, the board should enact a policy by August 2019 to prohibit contracting with the same entity for construction management and program management services.	Alum Rock Union Elementary School District	Partially Implemented
3. To strengthen its ability to oversee district expenditures, the board should require the district by August 2019 to prepare monthly summaries that report the total amounts it paid to each of its contractors, along with descriptions of the purpose of those payments, and to include the summaries with the monthly warrant lists it provides to the board.	Alum Rock Union Elementary School District	Partially Implemented

4. To ensure proper oversight of construction costs, the district should stop using payment terms for construction management and program management services that base fees on a percentage of construction costs or bonds issued.	Alum Rock Union Elementary School District	Fully Implemented
5. By November 2019, the district should develop contract monitoring procedures with defined staff roles and responsibilities, including retaining evidence of monitoring efforts. The district should also train its staff to follow these procedures.	Alum Rock Union Elementary School District	Partially Implemented
6. By November 2019, the district should develop procedures specifying a designated location for staff to retain contracts and related documentation and identifying those staff who are responsible for ensuring that these documents are stored appropriately. The district should also train staff to follow these procedures.	Alum Rock Union Elementary School District	Pending
7. By November 2019, the district should work with the county office to ensure that its new financial system includes unique identifiers for contract payment authorization documents.	Alum Rock Union Elementary School District	Pending
8. To identify its contracted personnel's potential conflicts of interest, the district should develop and implement a process by November 2019 to assess whether contracted personnel should be classified as consultants and are therefore subject to the district's code for disclosing financial interests.	Alum Rock Union Elementary School District	Pending
9. The district should immediately follow its conflict-of-interest code to ensure that all required individuals file Forms 700.	Alum Rock Union Elementary School District	Pending
10. To ensure compliance with the requirements of the Brown Act for quorums, the board should declare publicly at future board meetings whether a quorum of board members is present before it takes any action.	Alum Rock Union Elementary School District	Fully Implemented
11. To ensure compliance with government transparency laws, the board should request training in and adhere to Brown Act requirements and other state law by August 2019. It should also ensure that the district's general counsel is sufficiently knowledgeable to properly advise the board about these requirements.	Alum Rock Union Elementary School District	Fully Implemented
12. To ensure compliance with government transparency laws in future meetings, the board should ensure that it publicly identifies all parties involved in real estate negotiations prior to entering closed sessions.	Alum Rock Union Elementary School District	No Action Taken
13. To increase board member accountability at future meetings, the district should adhere to state law and its policies by reducing board member stipends when members fail to attend board meetings.	Alum Rock Union Elementary School District	Fully Implemented
14. To ensure compliance with government transparency laws, the district should train staff by August 2019 on the timing requirements of the Brown Act pertaining to publicizing board meeting agendas.	Alum Rock Union Elementary School District	Fully Implemented
15. To ensure that School District boards are knowledgeable about the ethical principles and laws that public officials must follow, the Legislature should amend state law to require members of School District boards who are compensated for their services to receive ethics training once every two years.	Legislature	No Action Taken
16. To comply with district policy and improve transparency, the board should work with district staff to evaluate law firm proposals against criteria when it next contracts for a general counsel. Further, the board should publicly report the results of its evaluation.	Alum Rock Union Elementary School District	Fully Implemented
17. To ensure that it provides a clear strategic direction for the district, the board should develop a vision and establish goals for the district by November 2019 and regularly monitor progress toward achieving these goals, as district policy requires.	Alum Rock Union Elementary School District	Pending
18. To provide additional transparency and ensure that its performance is meeting the needs of the district, the board should conduct an annual self-evaluation by November 2019 and publicize the results.	Alum Rock Union Elementary School District	Fully Implemented

<p>19. To increase the board's accountability and ensure the prudent spending of district funds, the board should implement procedures by August 2019 requiring that its members document on their requests for reimbursement how their travel complies with district policy.</p>	<p>Alum Rock Union Elementary School District</p>	<p>Pending</p>
<p>20. To improve organizational stability and increase transparency, the board should comply with district policy pertaining to other operational practices, including appointing a new board president only during its annual organizational meeting unless otherwise required to do so by policy, and limiting extensions of board meeting ending times to the single instance allowed per meeting.</p>	<p>Alum Rock Union Elementary School District</p>	<p>Fully Implemented</p>
<p>21. To assess whether the superintendent's performance aligns with the board's expectations, the board should provide timely annual performance evaluations in an appropriate format. Further, the district's human resources department should maintain copies of these evaluations.</p>	<p>Alum Rock Union Elementary School District</p>	<p>Fully Implemented</p>
<p>22. To demonstrate its commitment to improving its governance over the district's operations, the board should immediately direct district staff to track and prioritize the implementation of the remaining outstanding recommendations from the FCMAT audit report. The board should also direct staff to analyze the recommendations relating to its terminated contracts with Del Terra, identify those recommendations that will continue to be relevant after the appointment of a new construction manager and a new program manager, and implement policies to strengthen the district's monitoring of those contractors. The board should then monitor the status of the recommendations to ensure their implementation.</p>	<p>Alum Rock Union Elementary School District</p>	<p>Pending</p>
<p>23. To ensure that the bond committee receives the assistance it requests from the district to perform its oversight duties, the board should create a policy by August 2019 requiring district staff to document the assistance they provide to the bond committee and to regularly report to the board on the nature and frequency of this assistance.</p>	<p>Alum Rock Union Elementary School District</p>	<p>Fully Implemented</p>
<p>24. To reinforce the ethical principles, laws, and policies that the board must follow, the district should establish a policy by July 2019 to provide biennial training to board members on ethics, applicable government transparency, conflict-of-interest requirements, and district policies.</p>	<p>Alum Rock Union Elementary School District</p>	<p>Pending</p>
<p>25. To ensure that the bond committee receives timely and accurate information from the annual audits of the district's bond funds, the district should immediately begin to monitor the progress of the audits and prioritize their completion within nine months after the end of each fiscal year.</p>	<p>Alum Rock Union Elementary School District</p>	<p>Fully Implemented</p>
<p>26. To ensure that the bond committee includes representatives from all required constituencies, the district should verify and document representation of the committee members that the board appoints.</p>	<p>Alum Rock Union Elementary School District</p>	<p>Pending</p>
<p>27. To fulfill requirements in state law and district policy for presenting actual cost information of bond sales, the district should ensure that the board provides this information after each future bond issuance. If the district intends to rely on its financial advisor to present this information, the district should modify its contract with the advisor to explicitly require the advisor to present this information.</p>	<p>Alum Rock Union Elementary School District</p>	<p>Fully Implemented</p>
<p>28. To ensure that district staff have appropriate guidance when awarding contracts under emergency conditions, the district should create and implement by November 2019 policies and procedures describing the protocol for awarding emergency contracts, including the use of the district's standard contracting forms. The district should also train staff to follow these policies and procedures.</p>	<p>Alum Rock Union Elementary School District</p>	<p>Partially Implemented</p>
<p>29. To reinforce the governance standards that district policy requires board members to adhere to, the board should develop a code of conduct for board members to follow and adopt it at a public meeting by July 2019. The board should review and update this code of conduct each year as needed to reaffirm its commitment to the district's governance standards.</p>	<p>Alum Rock Union Elementary School District</p>	<p>Fully Implemented</p>

Report Number 2018-127**California State University: It Failed to Fully Disclose Its \$1.5 Billion Surplus, and It Has Not Adequately Invested in Alternatives to Costly Parking Facilities (June 2019)**

RECOMMENDATION	ENTITY	STATUS OF RECOMMENDATION
<p>1. To ensure transparency about CSU's available financial resources, the Legislature should require the CSU Office of the Chancellor (Chancellor's Office) to do the following, effective September 1, 2019:</p> <ul style="list-style-type: none"> • Beginning in 2019 and no later than November 30 each year, provide relevant parties, including the Department of Finance and the Joint Legislative Budget Committee, with the current balance of the discretionary surplus that CSU has accumulated in its outside investment account that is attributable to its operating fund and to any other funds that are relevant to CSU's budget requests; the balances of the surplus amounts in those funds at the end of the prior fiscal year; the projected balances of the surplus amounts expected to remain in those funds at the end of the current fiscal year; and the amount of, justification for, and safeguards over any funds that CSU deems a reserve for economic uncertainty. • Include in the capital improvement plans it submits annually to the Legislature information about the current balance of the surplus in CSU's outside investment account that is attributable to its operating fund or other funds that hold operating revenue from tuition, as well as the projected balance of the surplus amounts expected to remain in those funds at the end of the current fiscal year. • Include in its consultations with the student association the full amount of the discretionary surplus CSU has accumulated to date in its outside investment account that is attributable to its operating fund and any other funds that hold tuition revenue; the rate of growth of these surplus amounts over the last three fiscal years; an estimate of the portion of the surplus amounts that came from tuition; the dollar amount to date that CSU is obligated to spend to pay for goods and services it has already received or expenses that are tied to existing contracts; a projection of the dollar amount of the surplus that will be available for campuses to spend at their discretion at the end of the current fiscal year; and the amount of, justification for, and safeguards over any funds that CSU deems a reserve for economic uncertainty. 	Legislature	Legislation Proposed But Not Enacted
<p>2. To improve CSU's financial transparency with students and other stakeholders, the Chancellor's Office, with the approval of the trustees, should revise CSU policy by October 2019 to require that it publish information about CSU's discretionary surplus. At a minimum, the Chancellor's Office should do the following:</p> <ul style="list-style-type: none"> • Identify the full amount of discretionary surplus that CSU has accumulated to date in its outside investment account that is attributable to its operating fund or other funds that hold tuition revenue, an estimate of the portion of the surplus amounts that came from tuition, and the dollar amount to date that CSU is obligated to spend to pay for goods and services it has already received or expenses that are tied to existing contracts. • Report this information to the trustees when it presents them with a summary of CSU's reserves, at least annually. • Ensure that this information is easily accessible on CSU's website and publicly available to all stakeholders, along with the information CSU provides about tuition rates and policies. 	California State University	Fully Implemented
<p>3. To improve CSU's financial transparency with students and other stakeholders, the Chancellor's Office, with the approval of the trustees, should revise CSU policy by October 2019 to require that it publish information about CSU's discretionary surplus. At a minimum, the Chancellor's Office should revise its reserve policy to establish and justify a minimum sufficient level of reserve for economic uncertainty and require the Chancellor's Office to provide additional oversight to ensure that CSU maintains that level. This oversight should include monitoring, approving, and notifying the trustees of any uses of the reserve for economic uncertainty.</p>	California State University	Partially Implemented

<p>4. To ensure that students have equitable access to campus and that campuses provide the most cost-effective mix of parking and alternate transportation options, the Legislature should require the Chancellor’s Office to include the following information related to transportation, by campus, in its comprehensive five-year capital improvement plan:</p> <ul style="list-style-type: none"> • The number of parking facilities each campus intends to construct over the next five years and the alternate transportation strategies that the campus considered and implemented in determining the need for those parking facilities. • The total annual cost for each alternate transportation strategy the campuses considered and implemented compared to the annual cost of constructing, operating, and maintaining a new parking facility. • The cost per student served by those alternate transportation strategies compared to the cost per student of constructing, operating, and maintaining a new parking facility. • The number of students served by each of those alternate transportation strategies compared to the number of students to be served by a new facility. • Information on whether and to what extent alternate transportation strategies have decreased parking demand in the last three years and whether the campus has demonstrated that the parking demand justifies a new parking facility. • A cost-benefit analysis showing the appropriate mix of transportation strategies to ensure that the campus provides students with the most cost-effective access. 	<p>Legislature</p>	<p>Legislation Proposed But Not Enacted</p>
<p>5. To ensure that campuses thoroughly investigate and consider alternate transportation strategies, the Chancellor’s Office should immediately enforce its policy and require campuses to submit the following information when they request to build new parking facilities:</p> <ul style="list-style-type: none"> • Up-to-date master plans and transportation management plans that include as key components their plans for implementing alternate transportation strategies. • Information on whether and to what extent their alternate transportation strategies have decreased parking demand and evidence that projected parking demand justifies building a new parking facility. 	<p>California State University</p>	<p>Fully Implemented</p>
<p>6. The Chancellor’s Office should update its policy by October 2019 to require campuses to submit the following information when requesting to build a new parking facility:</p> <ul style="list-style-type: none"> • The total annual cost to implement each alternate transportation strategy compared to the annual cost of constructing, operating, and maintaining a new parking facility. • The cost per student served by those strategies compared to the cost per student of constructing, operating, and maintaining a new parking facility. • The number of students served by each of those strategies compared to the number of students served by the new facility. • Information, including participation data, on how the campuses have implemented alternate transportation strategies during the last three years. 	<p>California State University</p>	<p>Fully Implemented</p>
<p>7. The Chancellor’s Office should not approve any request to build a new parking facility unless the requesting campus has submitted this information (recommendations 5 and 6) and the Chancellor’s Office has reviewed and approved it.</p>	<p>California State University</p>	<p>Fully Implemented</p>
<p>8. To ensure that campuses’ alternate transportation committees are consistent systemwide, the Chancellor’s Office should adopt systemwide policies, by October 2019, to detail the following:</p> <ul style="list-style-type: none"> • The frequency of required meetings. The policy should require meetings at least biennially. • The composition of committee members. The policy should require that the committees include student representatives. • The committees’ responsibilities. These responsibilities should include the assessment of alternate transportation programs based on participation data and recommendations in the campuses’ transportation studies. 	<p>California State University</p>	<p>Fully Implemented</p>

9. The Chancellor's Office should require that, by October 2019, the campuses publish the names of the alternate transportation committee members, the committee meeting minutes, and the committee meeting schedule on their parking and transportation services websites.	California State University	No Action Taken
10. To ensure that campuses have a stable source of funding for investing in alternate transportation programs, the Chancellor's Office should update its policy by October 2019 to require campuses to include in their master plans or transportation management plans the potential revenue streams they will explore to secure a stable source for funding these programs. Examples of such revenue streams could include parking fees that they have reprioritized for alternate transportation, a stand-alone student transportation fee, local government partnerships or grants, or surplus parking revenue.	California State University	Fully Implemented

Report Number 2019-101

K-12 Local Control Funding: The State's Approach Has Not Ensured That Significant Funding Is Benefiting Students as Intended to Close Achievement Gaps (November 2019)

RECOMMENDATION	ENTITY	STATUS OF RECOMMENDATION
1. To increase the transparency of Local Control and Accountability Plans (LCAPs) and ensure that stakeholders can provide an adequate level of oversight, the Legislature should amend state law to require districts and other local educational agencies (LEAs) to specify in their LCAPs the specific amounts of budgeted and estimated actual supplemental and concentration expenditures for each service that involves those funds.	Legislature	Legislation Vetoed
2. To ensure that intended student groups receive the maximum benefit from supplemental and concentration funds, the Legislature should amend state law to require districts and other LEAs to identify any unspent supplemental and concentration funds annually by reconciling the estimated amounts of these funds included in their LCAPs with the actual amounts of these funds the California Department of Education (CDE) reports having apportioned to them.	Legislature	Legislation Vetoed
3. To ensure that intended student groups receive the maximum benefit from supplemental and concentration funds, the Legislature should amend state law to specify that unspent supplemental and concentration funds at year-end must retain their designation to increase and improve services for intended student groups and be spent in a following year. The Legislature should also require districts and other LEAs to identify in their LCAPs for the following year the total amounts of any unspent supplemental and concentration funds. In addition, it should direct the State Board of Education (State Board) to update the LCAP template to require districts and other LEAs to report in their LCAPs how they intend to use any previously unspent supplemental and concentration funds to provide services that benefit intended student groups.	Legislature	Legislation Vetoed
4. To provide additional data for the State and other stakeholders and to align spending information with the dashboard indicators or other student outcomes, the Legislature should require CDE to update its accounting manual to direct districts and other LEAs to track and report to it the total amount of supplemental and concentration funds they received and spend each year.	Legislature	Legislation Proposed But Not Enacted
5. To provide additional data for the State and other stakeholders and to align spending information with the dashboard indicators or other student outcomes, the Legislature should require CDE to develop and implement a tracking mechanism that districts and other LEAs must use to report to it the types of services on which they spend their supplemental and concentration funds.	Legislature	Legislation Proposed But Not Enacted
6. To increase the transparency of LCAPs, by February 2020, the State Board should change the LCAP template to merge the Annual Update section with the Goals, Actions, and Services section.	State Board of Education	Fully Implemented
7. To increase the transparency of LCAPs, by February 2020, the State Board should change the LCAP template to require districts and other LEAs to include analyses of the effectiveness of individual services, in addition to analyses of overarching goals.	State Board of Education	Resolved

<p>8. To ensure that districts and other LEAs produce clear and effective LCAPs and to reduce the likelihood of stakeholder complaints, by April 2020 the State Board should revise the instructions for the LCAP template to include, as best practices, key information from CDE's stakeholder complaint decisions about how districts and other LEAs can successfully demonstrate that they have principally directed districtwide spending for services toward intended student groups.</p>	<p>State Board of Education</p>	<p>Fully Implemented</p>
<p>9. To ensure that districts and other LEAs produce clear and effective LCAPs and to reduce the likelihood of stakeholder complaints, by April 2020 the State Board should revise the instructions for the LCAP template to instruct districts to ensure that their LCAPs are sufficiently clear and effective, including but not necessarily limited to ensuring that they articulate a logical connection between their needs and goals, that districts provide sufficiently detailed descriptions of services with the LCAP's Analysis subsection, and that LCAPs are written in a manner that is easily understandable.</p>	<p>State Board of Education</p>	<p>Fully Implemented</p>

Report Number 2019-104

Youth Experiencing Homelessness: California's Education System for K-12 Inadequately Identifies and Supports These Youth (November 2019)

RECOMMENDATION	ENTITY	STATUS OF RECOMMENDATION
<p>1. To ensure that LEAs effectively identify and serve youth experiencing homelessness, the Legislature should require LEAs to follow best practices to distribute to all families and youth, at least annually, a housing questionnaire with content that defines homelessness in a manner consistent with the federal McKinney-Vento Education Assistance Improvement Act (McKinney-Vento Act).</p>	<p>Legislature</p>	<p>Legislation Proposed But Not Enacted</p>
<p>2. To ensure that LEAs effectively identify and serve youth experiencing homelessness, the Legislature should require LEAs to follow best practices to request all families or youth to complete and return the housing questionnaire. For example, an LEA could combine this questionnaire with the emergency contact forms, which the families or youth are strongly encouraged to complete and return each year.</p>	<p>Legislature</p>	<p>No Action Taken</p>
<p>3. To ensure that LEAs effectively identify and serve youth experiencing homelessness, the Legislature should require LEAs to follow best practices to include in the housing questionnaire the educational rights and protections afforded to youth experiencing homelessness and the purpose of the questionnaire, including that the LEA uses the requested information to determine whether youth are eligible to receive additional support and services. Specifically, the Legislature should require LEAs to inform individuals in the housing questionnaire that under federal law all children are entitled to a free public education regardless of their immigration status, and that under state law homelessness by itself is not a reason for school officials to make a report to child protective services.</p>	<p>Legislature</p>	<p>Legislation Proposed But Not Enacted</p>
<p>4. To ensure that LEAs effectively identify and serve youth experiencing homelessness, the Legislature should require LEAs to follow best practices to ensure that all school staff who provide services to youth experiencing homelessness receive training on the homeless education program at least annually. The Legislature should specify that staff who provide services to these youth include enrollment staff, cafeteria staff, bus drivers, social workers and counselors, teachers, and administrators.</p>	<p>Legislature</p>	<p>Legislation Proposed But Not Enacted</p>
<p>5. To ensure that LEAs effectively identify and serve youth experiencing homelessness, the Legislature should require LEAs to follow best practices to collaborate with other organizations that provide services to those experiencing homelessness to enhance identification and provision of the services available to such youth. The Legislature should specify that these collaborations must include working with organizations that provide counseling services, social welfare services, meal services, health care services, and housing services.</p>	<p>Legislature</p>	<p>Legislation Proposed But Not Enacted</p>

6. To comply with federal law and best practices, Birmingham Community Charter School (Birmingham Charter) should, before academic year 2020–21, ensure that school staff who provide services to youth experiencing homelessness receive training as federal law requires. Further, as set forth in best practices, the LEA should provide this training at least annually, and the training should include the definition of homelessness, signs of homelessness, the impact of homelessness on youth, and the steps an LEA should take once school staff has identified a youth as possibly experiencing homelessness.	Birmingham Community Charter School	Fully Implemented
7. To comply with federal law and best practices, Greenfield Union School District (Greenfield) should, before academic year 2020–21, ensure that school staff who provide services to youth experiencing homelessness receive training as federal law requires. Further, as set forth in best practices, the LEA should provide this training at least annually, and the training should include the definition of homelessness, signs of homelessness, the impact of homelessness on youth, and the steps an LEA should take once school staff has identified a youth as possibly experiencing homelessness.	Greenfield Union School District	Fully Implemented
8. To comply with federal law and best practices, Gridley Unified School District (Gridley) should, before academic year 2020–21, ensure that school staff who provide services to youth experiencing homelessness receive training as federal law requires. Further, as set forth in best practices, the LEA should provide this training at least annually, and the training should include the definition of homelessness, signs of homelessness, the impact of homelessness on youth, and the steps an LEA should take once school staff has identified a youth as possibly experiencing homelessness.	Gridley Unified School District	Fully Implemented
9. To comply with federal law and best practices, Norwalk-La Mirada Unified School District (Norwalk-La Mirada) should, before academic year 2020–21, ensure that school staff who provide services to youth experiencing homelessness receive training as federal law requires. Further, as set forth in best practices, the LEA should provide this training at least annually, and the training should include the definition of homelessness, signs of homelessness, the impact of homelessness on youth, and the steps an LEA should take once school staff has identified a youth as possibly experiencing homelessness.	Norwalk-La Mirada Unified School District	Fully Implemented
10. To comply with federal law and best practices, San Bernardino City Unified School District (San Bernardino) should, before academic year 2020–21, ensure that school staff who provide services to youth experiencing homelessness receive training as federal law requires. Further, as set forth in best practices, the LEA should provide this training at least annually, and the training should include the definition of homelessness, signs of homelessness, the impact of homelessness on youth, and the steps an LEA should take once school staff has identified a youth as possibly experiencing homelessness.	San Bernardino City Unified School District	Pending
11. To comply with federal law and best practices, Vallejo City Unified School District (Vallejo) should, before academic year 2020–21, ensure that school staff who provide services to youth experiencing homelessness receive training as federal law requires. Further, as set forth in best practices, the LEA should provide this training at least annually, and the training should include the definition of homelessness, signs of homelessness, the impact of homelessness on youth, and the steps an LEA should take once school staff has identified a youth as possibly experiencing homelessness.	Vallejo City Unified School District	Pending
12. To comply with federal law and best practices, Birmingham Charter should, before academic year 2020–21, distribute information about the educational rights of youth experiencing homelessness in public places, including schools, shelters, public libraries, and food pantries frequented by families of such youth, as federal law requires. Further, to mitigate families' and youth's hesitance to disclosing their living situation the LEA should include the protections set forth in federal and state laws in the information it distributes.	Birmingham Community Charter School	Pending
13. To comply with federal law and best practices, Greenfield should, before academic year 2020–21, distribute information about the educational rights of youth experiencing homelessness in public places, including schools, shelters, public libraries, and food pantries frequented by families of such youth, as federal law requires. Further, to mitigate families' and youth's hesitance to disclosing their living situation the LEA should include the protections set forth in federal and state laws in the information it distributes.	Greenfield Union School District	Fully Implemented

<p>14. To comply with federal law and best practices, Gridley should, before academic year 2020–21, distribute information about the educational rights of youth experiencing homelessness in public places, including schools, shelters, public libraries, and food pantries frequented by families of such youth, as federal law requires. Further, to mitigate families’ and youth’s hesitance to disclosing their living situation the LEA should include the protections set forth in federal and state laws in the information it distributes.</p>	<p>Gridley Unified School District</p>	<p>Pending</p>
<p>15. To comply with federal law and best practices, Norwalk-La Mirada should, before academic year 2020–21, distribute information about the educational rights of youth experiencing homelessness in public places, including schools, shelters, public libraries, and food pantries frequented by families of such youth, as federal law requires. Further, to mitigate families’ and youth’s hesitance to disclosing their living situation the LEA should include the protections set forth in federal and state laws in the information it distributes.</p>	<p>Norwalk-La Mirada Unified School District</p>	<p>Fully Implemented</p>
<p>16. To comply with federal law and best practices, San Bernardino should, before academic year 2020–21, distribute information about the educational rights of youth experiencing homelessness in public places, including schools, shelters, public libraries, and food pantries frequented by families of such youth, as federal law requires. Further, to mitigate families’ and youth’s hesitance to disclosing their living situation the LEA should include the protections set forth in federal and state laws in the information it distributes.</p>	<p>San Bernardino City Unified School District</p>	<p>Pending</p>
<p>17. To comply with federal law and best practices, Vallejo should, before academic year 2020–21, distribute information about the educational rights of youth experiencing homelessness in public places, including schools, shelters, public libraries, and food pantries frequented by families of such youth, as federal law requires. Further, to mitigate families’ and youth’s hesitance to disclosing their living situation the LEA should include the protections set forth in federal and state laws in the information it distributes.</p>	<p>Vallejo City Unified School District</p>	<p>Fully Implemented</p>
<p>18. To comply with federal law and best practices, Birmingham Charter should, before academic year 2020–21, publish information on its website about the educational rights and protections of youth experiencing homelessness.</p>	<p>Birmingham Community Charter School</p>	<p>Fully Implemented</p>
<p>19. To comply with federal law and best practices, Greenfield should, before academic year 2020–21, publish information on its website about the educational rights and protections of youth experiencing homelessness.</p>	<p>Greenfield Union School District</p>	<p>Fully Implemented</p>
<p>20. To comply with federal law and best practices, Gridley should, before academic year 2020–21, publish information on its website about the educational rights and protections of youth experiencing homelessness.</p>	<p>Gridley Unified School District</p>	<p>Pending</p>
<p>21. To comply with federal law and best practices, Norwalk-La Mirada should, before academic year 2020–21, publish information on its website about the educational rights and protections of youth experiencing homelessness.</p>	<p>Norwalk-La Mirada Unified School District</p>	<p>Fully Implemented</p>
<p>22. To comply with federal law and best practices, San Bernardino should, before academic year 2020–21, publish information on its website about the educational rights and protections of youth experiencing homelessness.</p>	<p>San Bernardino City Unified School District</p>	<p>Fully Implemented</p>
<p>23. To comply with federal law and best practices, Vallejo should, before academic year 2020–21, publish information on its website about the educational rights and protections of youth experiencing homelessness.</p>	<p>Vallejo City Unified School District</p>	<p>Fully Implemented</p>
<p>24. To ensure that families of youth experiencing homelessness can readily access information about the LEA’s homeless education program as best practices recommend, Greenfield should publish its local liaison’s contact information in an easy-to-find place on its website.</p>	<p>Greenfield Union School District</p>	<p>Fully Implemented</p>
<p>25. To ensure that families of youth experiencing homelessness can readily access information about the LEA’s homeless education program as best practices recommend, Gridley should publish its local liaison’s contact information in an easy-to-find place on its website.</p>	<p>Gridley Unified School District</p>	<p>Fully Implemented</p>

26. To ensure that families of youth experiencing homelessness can readily access information about the LEA's homeless education program as best practices recommend, Vallejo should publish its local liaison's contact information in an easy-to-find place on its website.	Vallejo City Unified School District	Fully Implemented
27. To ensure that youth experiencing homelessness have access to the necessary services to help them succeed in school, by August 2020 CDE should establish guidance for implementing data-sharing agreements between the LEAs and other organizations that provide services to these youth.	Department of Education	Fully Implemented
28. To ensure that CDE provides effective oversight for the education of youth experiencing homelessness, the Legislature should require CDE to develop and implement an LEA monitoring plan that is risk-based and focuses its reviews, both onsite and desk reviews, on those LEAs that CDE determines are at the greatest risk of underidentifying youth experiencing homelessness and those LEAs whose homeless education program policies may be outdated.	Legislature	Legislation Proposed But Not Enacted
29. To ensure that CDE provides effective oversight for the education of youth experiencing homelessness, the Legislature should require CDE to develop and implement procedures for verifying key information that LEAs submit through the Consolidated Application and Reporting System (CARS). For example, CDE can verify the information by requesting supporting documentation for a sample of LEAs that have reported zero or few youth experiencing homelessness and have indicated in CARS that their local liaisons have received training.	Legislature	No Action Taken
30. To ensure that CDE provides effective oversight for the education of youth experiencing homelessness, the Legislature should require CDE to review LEAs' information in CARS about when they last updated their homeless education policies and remind those LEAs that indicate that their board policies may be outdated to update their policies to reflect current requirements.	Legislature	No Action Taken
31. To ensure that CDE provides effective oversight for the education of youth experiencing homelessness, the Legislature should require CDE to develop alternative interactive training, such as webinars in which participants can ask questions, to reach a greater number of LEAs. It should place recordings of these webinars on its website for all LEAs to review.	Legislature	No Action Taken
32. To ensure that CDE provides effective oversight for the education of youth experiencing homelessness, the Legislature should require CDE to provide guidance to local liaisons regarding their responsibilities under the McKinney-Vento Act, including that they must ensure that school personnel who provide services to youth experiencing homelessness receive training on the proper identification and reporting procedures. Also, it should require CDE to develop procedures for its staff to use to verify that all LEA staff who provide services to these youth receive such training at least annually, as best practices recommend.	Legislature	No Action Taken
33. To ensure that CDE provides effective oversight for the education of youth experiencing homelessness, the Legislature should require CDE to use existing LEA data, including data on the number of youth identified as experiencing homelessness and performance outcomes of those youth, to identify LEAs that may be underidentifying such youth and that may not have effective homeless education programs. It should also require CDE to assist these LEAs through appropriate means.	Legislature	No Action Taken
34. To ensure that it has the resources necessary to effectively meet its responsibilities under federal law, CDE should complete a staffing analysis by May 2020 to determine the resources needed to meet its responsibilities for homeless education. This analysis should consider the resources needed to implement all of the recommendations in this report.	Department of Education	Will Not Implement
35. If CDE determines that it needs additional resources, it should take the necessary steps, including reallocating existing resources within the department, to secure the needed resources.	Department of Education	Will Not Implement
36. To effectively monitor LEAs and help them identify additional youth experiencing homelessness, CDE should develop a method for determining those LEAs that may be underidentifying youth experiencing homelessness. For example, CDE could determine which LEAs identified less than 5 percent of their economically disadvantaged youth as experiencing homelessness.	Department of Education	Fully Implemented

<p>37. To effectively monitor LEAs and help them identify additional youth experiencing homelessness, for those LEAs it determines may be underidentifying youth experiencing homelessness, CDE should provide general guidance on its website or through group emails to help them increase their identification rates and, as resources permit, should provide detailed technical assistance to selected LEAs that CDE believes may be at the highest risk of missing a greater number of youth experiencing homelessness.</p>	<p>Department of Education</p>	<p>Pending</p>
<p>38. To ensure that all LEAs receive necessary guidance and training, CDE should review the guidance documents and templates, including the housing questionnaire and poster, that CDE makes available on its website for LEAs and ensure that all the documents reflect current best practices. For example, the questionnaire and the posters should include the rights and protections afforded to youth experiencing homelessness and their families to alleviate any apprehensions of identifying themselves as experiencing homelessness. CDE should then make all LEAs aware of these revised documents.</p>	<p>Department of Education</p>	<p>Partially Implemented</p>
<p>39. To ensure that all LEAs receive necessary guidance and training, CDE should Inform all LEAs of the requirement to disseminate information about the educational rights of youth experiencing homelessness in locations frequented by families of such youth, including schools, shelters, public libraries, and food pantries. Further, CDE should encourage LEAs to inform families and youth about protections afforded to those experiencing homelessness. For example, it could encourage LEAs to accomplish this through their housing questionnaire.</p>	<p>Department of Education</p>	<p>Fully Implemented</p>
<p>40. To ensure that all LEAs receive necessary guidance and training, CDE should revise its training modules to ensure that they reinforce key best practices recommended by the U.S. Department of Education and other homeless education experts.</p>	<p>Department of Education</p>	<p>Fully Implemented</p>
<p>41. To ensure that all LEAs receive necessary guidance and training, CDE should develop training modules, as outlined in the state plan, for LEA staff who provide services to youth experiencing homelessness. These training modules should include the provisions of law and the definition of homelessness, procedures for identifying and enrolling youth experiencing homelessness, and the services that CDE expects LEAs to provide to these youth.</p>	<p>Department of Education</p>	<p>Fully Implemented</p>

Report Number 2019-108

Sacramento City Unified School District: Because It Has Failed to Proactively Address Its Financial Challenges, It May Soon Face Insolvency (December 2019)

RECOMMENDATION	ENTITY	STATUS OF RECOMMENDATION
<p>1. To help ensure that county office superintendents can prevent school districts under their oversight from becoming insolvent, the Legislature should consider amending state law to require school district boards to obtain approval from their county office superintendents before considering actions that would result in expenditures that exceed 200 percent of their required reserve amount. County office superintendents should disapprove any district action that they determine would cause school districts to do either of the following:</p> <ul style="list-style-type: none"> • Project insolvency within the current fiscal year or two subsequent fiscal years. • Rely on reserves or other one-time resources, such as one-time funds from the State, to remain solvent within the current fiscal year or two subsequent fiscal years. 	<p>Legislature</p>	<p>No Action Taken</p>
<p>2. To help ensure that school district boards are accountable for the costs they approve, the Legislature should consider amending state law to require those boards to certify the district's ability to meet the costs disclosed in each collective bargaining agreement.</p>	<p>Legislature</p>	<p>No Action Taken</p>
<p>3. To ensure that Sacramento City Unified School District (Sacramento Unified) takes the steps necessary to address its fiscal crisis, the county office superintendent should direct Sacramento Unified to submit a corrective action plan by March 2020 that consolidates the district's plans to resolve its fiscal crisis.</p>	<p>Sacramento County Office of Education</p>	<p>Pending</p>

4. To ensure that Sacramento Unified takes the steps necessary to address its fiscal crisis, the county office superintendent should ensure that Sacramento Unified addresses the issues identified in this report, including its executive management turnover and lack of policies guiding its budget process.	Sacramento County Office of Education	Pending
5. To ensure that Sacramento Unified takes the steps necessary to address its fiscal crisis, the county office superintendent should ensure that Sacramento Unified implements all of the recommendations detailed below.	Sacramento County Office of Education	Pending
6. To address its current financial problems, Sacramento Unified should, by March 2020, adopt a detailed plan to resolve its fiscal crisis. The plan should estimate savings under multiple scenarios and include an analysis that quantifies the impact of reductions the district can make to ongoing expenditures. Specifically, Sacramento Unified should consider the impact of possible salary adjustments for employees in different bargaining units and include the impact those salary adjustments would have on postemployment benefits, such as pensions. It should also use the most recently available data to estimate net savings from modifying the health care benefits it provides to employees, as well as the impact those modifications would have on the total compensation of the employees. Finally, it should calculate the impact of possible changes to district and employee contributions to fund future retiree health benefits. The district should use the plan it develops as the basis for its discussions of potential solutions with its teachers union.	Sacramento City Unified School District	Partially Implemented
7. To address its current financial problems, Sacramento Unified should revise its multiyear projections and update them at least quarterly until it has taken action that would cause it to no longer project insolvency. It should disclose these projections to the board.	Sacramento City Unified School District	Pending
8. To address its current financial problems, Sacramento Unified should adopt and disclose publicly a multiyear projection methodology. This methodology should disclose the assumptions and rationale used to estimate changes in salaries, benefits, contributions, and local control funding formula revenue—including changes in enrollment and the source and reliability of the data used to make these projections.	Sacramento City Unified School District	Pending
9. To address its current financial problems, before it imposes an agreement on its teachers union or accepts state assistance, Sacramento Unified should publicly disclose the likely effects that such actions will have on the district's students, faculty, and the community, and its plans to address these effects.	Sacramento City Unified School District	Pending
10. To prevent a similar fiscal crisis in the future, Sacramento Unified should, by July 2020, have the board adopt a budget methodology, including guidance on the use of one-time funds, the use and maintenance of district reserves, and the maintenance of a balanced budget. The methodology should use the Government Finance Officers Association's best practices as a guide and should address the following : <ul style="list-style-type: none"> • Include administrators from different divisions of Sacramento Unified into the budget development process to help ensure the accuracy of projections. • Establish criteria and measures for success in the budget process, such as whether budget decisions were made with adequate input and deliberation and whether the budget was balanced without using reserves or one-time revenues for ongoing expenditures. • Develop and adhere to a multiyear funding budget plan, with the goal of realigning resources where necessary to fund ongoing expenses with ongoing revenue. • Conduct an analysis of variances in budgeted and actual revenues and expenditures at each interim reporting period. Sacramento Unified should then use this information to inform its estimates for the upcoming fiscal year's budget. 	Sacramento City Unified School District	Pending
11. To prevent a similar fiscal crisis in the future, Sacramento Unified should, by July 2020, develop a long-term funding plan to address its retiree health benefits liability. The plan should include appropriate action necessary to ensure the district will be able to meet its obligations to its employees and retirees.	Sacramento City Unified School District	Pending
12. To prevent a similar fiscal crisis in the future, Sacramento Unified should, by July 2020, adopt a policy that guides staff on steps they should take to ensure that special education expenditures are cost-effective. The policy should include consideration of options for offering services, including those provided by district staff or by contracted providers.	Sacramento City Unified School District	Pending

13. To prevent a similar fiscal crisis in the future, Sacramento Unified should annually apply for available state funding for its extraordinary special education costs.	Sacramento City Unified School District	Fully Implemented
14. To prevent a similar fiscal crisis in the future, Sacramento Unified should, by July 2020, develop and adopt a succession plan that ensures that it has staff who have the training and knowledge necessary to assume critical roles in the case of turnover.	Sacramento City Unified School District	Pending
15. To prevent a similar fiscal crisis in the future, Sacramento Unified should, by July 2020, develop effective employee orientation programs, including mentorship, to allow incoming leaders to better adapt to the organization's structure and culture.	Sacramento City Unified School District	Partially Implemented

Report Number 2019-112

California State Lottery: The Lottery Has Not Ensured That It Maximizes Funding for Education (February 2020)

RECOMMENDATION	ENTITY	STATUS OF RECOMMENDATION
1. To ensure that the California State Lottery (Lottery) provides the required amount of funding to education, the Legislature should require that the Lottery pay—from its administrative expense category—the \$36 million to education it should have provided in fiscal year 2017–18.	Legislature	Legislation Proposed But Not Enacted
2. To ensure that the Lottery adheres to the meaning of the 2010 amendments to the California State Lottery Act (Lottery Act), the Legislature should amend the act to specify that the relationship between increases in its net revenue and increases in its education funding should be directly proportional.		Legislation Proposed But Not Enacted
3. To ensure that it provides the maximum amount of funding to education in future fiscal years, the Lottery should do the following: <ul style="list-style-type: none"> • By August 2020, determine the optimal amount of prize payouts that maximizes the funding for education. • By August 2020, establish a policy to annually reconsider the optimal amount of prize payouts that maximizes funding for education. • Use this optimal prize amount when setting its budgets, beginning with the budget for fiscal year 2021–22. 	California State Lottery Commission	Partially Implemented
4. To adhere to the Lottery Act's education funding requirements, beginning with fiscal year 2020–21, the Lottery Commission should require its staff to demonstrate that they have planned for education funding to be maximized and aligned with the proportionality requirement of the Lottery Act, and approve only those budgets that plan for such funding. It should then monitor actual education funding and ensure that it complies with the requirement.	California State Lottery Commission	No Action Taken
6. To ensure that it conducts procurements in a way that preserves all possible funding for education, by August 2020, the Lottery should develop procurement procedures that, at a minimum, do the following: <ul style="list-style-type: none"> • Provide examples of when products are truly available from only one source and examples of when the Lottery should consider whether alternative products can also fulfill its needs. • Require its staff to collect and maintain documentation supporting any exception to competitive bidding and provide examples of adequate and inadequate documentation. • Instruct its contracts unit to deny all procurement requests that do not demonstrate adherence to contracting requirements. 	California State Lottery Commission	Pending
7. To ensure that it receives value for the funding it spends on its fairs program, by January 2021, the Lottery should determine whether the program has increased its brand strength, customer loyalty, customer satisfaction, ticket sales, and profits. If the analysis determines that the Lottery has not achieved these benefits, it should terminate the program.	California State Lottery Commission	Pending

Report Number 2019-114**California State University: The Mandatory Fees Its Campuses Charge Receive Little Oversight Yet They Represent an Increasing Financial Burden to Students (May 2020)**

RECOMMENDATION	ENTITY	STATUS OF RECOMMENDATION
<p>1. To ensure that all funding that students and the Legislature provide to the CSU system to pay for its core functions receives the same oversight, the Legislature should do the following:</p> <ul style="list-style-type: none"> • Direct the Chancellor's Office to review mandatory fee expenditures across all 23 campuses and, by December 2020, report to the Legislature how much campuses spent of those fees on faculty and academic support staff, classroom and laboratory improvements, educational equipment and software, student trips and events, instruction-related facility improvements, and athletics in fiscal year 2018–19. The Chancellor's Office should also report the proportions and dollar amounts of these fee expenditures that directly support the CSU's core functions—namely, instructing and graduating students who are prepared to succeed. • Using this information, determine and implement the most effective centralized way to fund the core functions for which mandatory fees currently pay. • Upon implementing the new funding approach, prohibit CSU campuses from charging and using revenue from mandatory fees—including student success fees; instructionally related activities fees; and materials, services, and facilitates fees—to pay for any of the identified core functions. This prohibition should also apply to any mandatory fees campuses create in the future. 	Legislature	No Action Taken
<p>2. To ensure that CSU students have a strong voice regarding the mandatory fees they must pay, the Legislature should amend state law to require campuses to hold binding student votes when seeking to establish or increase any mandatory fee. The Legislature should require the Chancellor's Office to verify the results of all student votes before the chancellor approves fee changes.</p>	Legislature	No Action Taken
<p>3. To ensure that CSU campuses adequately identify the need for their proposed mandatory fee amounts, the Chancellor's Office should revise its fee policy to require campuses to justify amounts for new or increasing fees by providing supporting documentation demonstrating the need for the fees, how they calculated the fee amounts, and how they determined that no other source of funding could pay for the needed services.</p>	California State University	No Action Taken
<p>4. To ensure that CSU campuses adequately identify the need for their proposed mandatory fee amounts, the Chancellor's Office should extend its review responsibilities to include increases to existing mandatory fees.</p>	California State University	No Action Taken
<p>5. To ensure that CSU campuses adequately identify the need for their proposed mandatory fee amounts, the Chancellor's Office should increase the rigor of its fee proposal review and approval process to better ensure that it detects campuses' violations of the fee policy.</p>	California State University	No Action Taken

Report Number 2019-047**Native American Graves Protection and Repatriation Act: The University of California Is Not Adequately Overseeing Its Return of Native American Remains and Artifacts (June 2020)**

RECOMMENDATION	ENTITY	STATUS OF RECOMMENDATION
<p>1. To allow more California tribes to pursue repatriation of remains and artifacts that may belong to them, and consistent with the intent of California Native American Graves Protection and Repatriation Act (NAGPRA), the Legislature should amend state law to allow more tribes to be eligible for inclusion on the Native American Heritage Commission's (NAHC) list of recognized tribes.</p>	Legislature	Legislation Enacted

2. To ensure that the affiliation, repatriation, and disposition processes are timely and consistent across all campuses as the Legislature intended, the University of California Office of the President (Office of the President) should publish its final systemwide NAGPRA policy no later than August 2020.	University of California	Pending
3. To increase oversight and ensure that campuses consistently review claims, the Office of the President should require campuses to provide reports about all current claims for affiliation, repatriation, and disposition, as well as any associated decisions, to the systemwide committee for biannual review no later than January 2021.	University of California	Pending
4. To ensure that tribal perspectives are appropriately represented in repatriation decisions, the Office of the President should ensure that membership of campus and systemwide committees complies with state law by including appropriate tribal representation no later than November 2020.	University of California	Pending
5. To increase the transparency of the campuses' NAGPRA collections, the Office of the President should determine whether its campuses have informed tribes about all known missing remains and artifacts no later than August 2020, and if campuses have not done so, determine an appropriate method of communicating with tribes about missing remains and artifacts.	University of California	Fully Implemented
6. To ensure that more tribes can make repatriation claims, the NAHC should publish the list of recognized California tribes no later than September 2020.	Native American Heritage Commission	Fully Implemented

Report Number 2019-113

The University of California: Qualified Students Face an Inconsistent and Unfair Admissions System That Has Been Improperly Influenced by Relationships and Monetary Donations (September 2020)

RECOMMENDATION	ENTITY	STATUS OF RECOMMENDATION
1. To protect the fairness and integrity of its admissions processes, the Office of the President should establish systemwide protocols for admissions processes by the fall 2021 admissions cycle that prohibit the following: <ul style="list-style-type: none"> • Giving authority to any one person to make a final admissions decision. • Consideration of an applicant's familial or other personal relationships to University of California (UC) staff or faculty in an admissions decision. • Communication between a campus's development office and its admissions office about applicants and prospective applicants. 	University of California	*
2. To protect the campuses' athletics admissions process from abuse, the Office of the President should require each campus to do the following by the fall 2021 admissions cycle: <ul style="list-style-type: none"> • Have at least two reviewers verify the athletic talent of all prospective student athletes before their admittance. At least one of these reviewers should be from a department other than the athletics department. Each campus should develop standards for the level of talent that prospective student athletes for each of its teams must possess and then use those standards to verify the talent. • Track student athletes' participation in the sport for which they were recruited. If a student does not participate in the sport for longer than one year, the campus should determine the reason why the athlete stopped participating and, if necessary, conduct a review of the circumstances that led to the student's admission to identify signs of inappropriate admissions activity. • Review donations to athletic programs to determine whether those donations made before or after an athlete's admission may have influenced the athletic department's decision to request the athlete's admission. 	University of California	*
3. The Office of the President should immediately require staff involved in making or informing admissions decisions to report all attempts to influence admissions decisions, regardless of source, to their supervisors or to the director of undergraduate admissions.	University of California	*

<p>4. Beginning with the fall 2021 admissions cycle, the Office of the President should oversee UC Berkeley's admissions process for at least three years. The Office of the President should ensure that all admissions decisions are merit-based and conform to the UC's policies on admissions. Further, the Office of the President should facilitate the establishment of a culture of ethical conduct in admissions by providing regular training to admissions and development staff, conducting reviews of admissions decisions, and monitoring the admissions office's communications about applicants to ensure no inappropriate factors influence admissions activities.</p>	University of California	*
<p>5. To ensure that the university maintains a fair and consistent admissions process, the Office of the President should require each campus to take the following actions:</p> <ul style="list-style-type: none"> • By March 2021, document and implement a selection methodology that describes how it will choose applicants for admission, particularly when the applicants have received similar ratings from application readers. Further, the selection strategy should specify the reasons why a campus may choose an applicant with a low or uncompetitive rating instead of an applicant with a higher rating. • Develop and implement processes to use when selecting applicants for admission for identifying applicants whom it has selected for admission and who are not eligible for admission to the university, and record their rationale for admitting those applicants despite their ineligibility. 	University of California	*
<p>6. Provide assurance that campuses' use of the admission by exception policy is aligned with the policy's purpose, beginning in June 2021, the Office of the President should annually select a random sample of applicants admitted by exception and verify that campuses recorded a rationale for each admission and that each rationale aligns with Board of Admissions and Relations with Schools (BOARS) committee's guidance.</p>	University of California	*
<p>7. To ensure that the university maintains a fair and unbiased admissions process, the Office of the President should require each campus to take the following actions:</p> <ul style="list-style-type: none"> • By March 2021, establish acceptable levels of application reader proficiency and maintain training and monitoring programs that ensure that its readers attain and sustain those levels. In addition, it should report annually to BOARS on those efforts and on reader consistency levels, including the frequency with which reader ratings align with campus guidelines for rating applications. • Beginning with the academic year 2021–22 admissions cycle, require each campus that does not admit all eligible transfer applicants to ensure that two readers review all transfer applications. • Beginning with the academic year 2021–22 admissions cycle, ensure that the second readers cannot see the ratings of first readers for both freshman and transfer applications. 	University of California	*
<p>8. To better ensure that implicit bias in the evaluation of applications does not affect applicants' chances at admission, the Office of the President should remove potentially biasing information from the application information that campuses can access.</p>	University of California	*
<p>9. To ensure that it properly protects all admissions activity against improper influence, the Office of the President should require each campus's undergraduate admissions office to do the following:</p> <ul style="list-style-type: none"> • Identify all other campus departments that participate in or provide information that affects admissions decision making. • Obtain, evaluate, and approve a description of the criteria and processes that these departments use in rating and selecting applicants to recommend for admission. • Annually obtain a roster from each of these departments of the individuals who will participate in admissions decision making and their roles and ensure that no single individual is responsible for such decisions in any given department. • Ensure that each individual whom a department includes on the roster it submits has received training on appropriate and inappropriate factors on which to base admissions decisions and has agreed to abide by the campus's conflict-of-interest policies with respect to admissions. 	University of California	*

<p>10. To better safeguard the integrity of the UC's admissions processes, the Office of the President should, by July 2021, begin conducting regular audits of the admissions processes at each of its undergraduate campuses, ensuring that it reviews each campus at least once every three years. These audits should be conducted by systemwide audit staff and include, but not be limited to, verification of special talents, communication between admissions staff and external parties regarding applicants, and other avenues for inappropriate influence on admissions discussed in this report. The audits should also endeavor to identify inappropriate admissions activity and deficiencies in the admissions process. The Office of the President should make the results of the audits public.</p>	<p>University of California</p>	<p>*</p>
<p>11. To ensure that campuses adequately address deficiencies identified in its own internal audit, the Office of the President should immediately begin to assess whether the campuses have completed the corrective actions they developed in response to systemwide recommendations and should evaluate whether the campuses' actions adequately address the audit's concerns.</p>	<p>University of California</p>	<p>*</p>
<p>12. To increase the number of high school students who have the opportunity to gain admission to the university through its Eligibility in the Local Context (ELC) program, the Office of the President should, beginning in April 2021, do the following:</p> <ul style="list-style-type: none"> • Annually determine which high schools are eligible to participate in ELC but do not. It should annually report to BOARS the number of these high schools and their demographic characteristics. • Annually contact eligible high schools that are not participating in ELC to determine their reasons for choosing not to do so. It should assess whether the university can address barriers to participation and, to the extent that it can increase participation, it should take the steps to do so. 	<p>University of California</p>	<p>*</p>

Report Number 2019-125

Youth Suicide Prevention: Local Educational Agencies Lack the Resources and Policies Necessary to Effectively Address Rising Rates of Youth Suicide and Self-Harm (September 2020)

RECOMMENDATION	ENTITY	STATUS OF RECOMMENDATION
<p>2. To promote the adoption of the best practices that it has identified, CDE should remind LEAs of the elements in its model policy. To do so, it should annually send a notice to all LEAs that describes suicide prevention resources, such as the model policy, and encourages their use. CDE should also work with external organizations that maintain model policies, including the School Boards Association, to encourage the development of policies that are consistent with state law and best practices by no later than September 2021.</p>	<p>California Department of Education</p>	<p>Pending</p>
<p>3. To encourage LEAs to incorporate elements of suicide prevention training that provide teachers and staff with the knowledge necessary to assist students at risk of self-harm and suicide, CDE should remind all LEAs of the statutorily required elements for suicide prevention training.</p>	<p>California Department of Education</p>	<p>Pending</p>
<p>4. To support the provision of suicide prevention education to students at LEAs operating through distance learning, CDE should complete and issue to LEAs the resources and guidance it is developing on how to conduct suicide prevention education remotely.</p>	<p>California Department of Education</p>	<p>Pending</p>
<p>7. To ensure that their teachers and staff have the information necessary to respond consistently, promptly, and appropriately to reduce suicide risk, the six LEAs we reviewed should revise their policies by March 2021 to comply with state law and incorporate the best practices in CDE's model policy.</p>	<p>Charter Academy of the Redwoods</p>	<p>*</p>
<p>8. To ensure that their teachers and staff have the information necessary to respond consistently, promptly, and appropriately to reduce suicide risk, the six LEAs we reviewed should revise their policies by March 2021 to comply with state law and incorporate the best practices in CDE's model policy.</p>	<p>Gateway Public Schools</p>	<p>*</p>
<p>9. To ensure that their teachers and staff have the information necessary to respond consistently, promptly, and appropriately to reduce suicide risk, the six LEAs we reviewed should revise their policies by March 2021 to comply with state law and incorporate the best practices in CDE's model policy.</p>	<p>Heartland Charter Schools</p>	<p>*</p>

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10. To ensure that their teachers and staff have the information necessary to respond consistently, promptly, and appropriately to reduce suicide risk, the six LEAs we reviewed should revise their policies by March 2021 to comply with state law and incorporate the best practices in CDE's model policy.	Kern High School District	Fully Implemented
11. To ensure that their teachers and staff have the information necessary to respond consistently, promptly, and appropriately to reduce suicide risk, the six LEAs we reviewed should revise their policies by March 2021 to comply with state law and incorporate the best practices in CDE's model policy.	San Francisco Unified School District	Pending
12. To ensure that their teachers and staff have the information necessary to respond consistently, promptly, and appropriately to reduce suicide risk, the six LEAs we reviewed should revise their policies by March 2021 to comply with state law and incorporate the best practices in CDE's model policy.	Ukiah Unified School District	*
13. To ensure that their teachers and staff have the knowledge necessary to identify and assist students at risk of self-harm and suicide, the six LEAs we reviewed should do the following: <ul style="list-style-type: none"> • Revise their suicide prevention training materials by June 2021 to align with state law and incorporate the best practices in CDE's model policy. • LEAs that provide suicide prevention training should conduct it at the beginning of the school year. 	Charter Academy of the Redwoods	*
14. To ensure that their teachers and staff have the knowledge necessary to identify and assist students at risk of self-harm and suicide, the six LEAs we reviewed should do the following: <ul style="list-style-type: none"> • Revise their suicide prevention training materials by June 2021 to align with state law and incorporate the best practices in CDE's model policy. • LEAs that provide suicide prevention training should conduct it at the beginning of the school year. 	Gateway Public Schools	*
15. To ensure that their teachers and staff have the knowledge necessary to identify and assist students at risk of self-harm and suicide, the six LEAs we reviewed should do the following: <ul style="list-style-type: none"> • Revise their suicide prevention training materials by June 2021 to align with state law and incorporate the best practices in CDE's model policy. • LEAs that provide suicide prevention training should conduct it at the beginning of the school year. 	Heartland Charter Schools	*
16. To ensure that their teachers and staff have the knowledge necessary to identify and assist students at risk of self-harm and suicide, the six LEAs we reviewed should do the following: <ul style="list-style-type: none"> • Revise their suicide prevention training materials by June 2021 to align with state law and incorporate the best practices in CDE's model policy. • LEAs that provide suicide prevention training should conduct it at the beginning of the school year. 	Kern High School District	Partially Implemented
17. To ensure that their teachers and staff have the knowledge necessary to identify and assist students at risk of self-harm and suicide, the six LEAs we reviewed should do the following: <ul style="list-style-type: none"> • Revise their suicide prevention training materials by June 2021 to align with state law and incorporate the best practices in CDE's model policy. • LEAs that provide suicide prevention training should conduct it at the beginning of the school year. 	San Francisco Unified School District	*
18. To ensure that their teachers and staff have the knowledge necessary to identify and assist students at risk of self-harm and suicide, the six LEAs we reviewed should do the following: <ul style="list-style-type: none"> • Revise their suicide prevention training materials by June 2021 to align with state law and incorporate the best practices in CDE's model policy. • LEAs that provide suicide prevention training should conduct it at the beginning of the school year. 	Ukiah Unified School District	*

19. To improve their students' access to mental health professionals, Kern High School District, Ukiah Unified School District (Ukiah Unified), Gateway Public Schools (Gateway Charter), Charter Academy of the Redwoods (Redwoods Charter), and Heartland Charter Schools (Heartland Charter) should coordinate with their respective counties to request Mental Health Service Act (MHSA) funding to employ additional school counselors, school nurses, school social workers, and school psychologists.	Charter Academy of the Redwoods	*
20. To improve their students' access to mental health professionals, Kern High School District, Ukiah Unified, Gateway Charter, Redwoods Charter, and Heartland Charter should coordinate with their respective counties to request MHSA funding to employ additional school counselors, school nurses, school social workers, and school psychologists.	Gateway Public Schools	*
21. To improve their students' access to mental health professionals, Kern High School District, Ukiah Unified, Gateway Charter, Redwoods Charter, and Heartland Charter should coordinate with their respective counties to request MHSA funding to employ additional school counselors, school nurses, school social workers, and school psychologists.	Heartland Charter Schools	Pending
22. To improve their students' access to mental health professionals, Kern High School District, Ukiah Unified, Gateway Charter, Redwoods Charter, and Heartland Charter should coordinate with their respective counties to request MHSA funding to employ additional school counselors, school nurses, school social workers, and school psychologists.	Kern High School District	Pending
23. To improve their students' access to mental health professionals, Kern High School District, Ukiah Unified, Gateway Charter, Redwoods Charter, and Heartland Charter should coordinate with their respective counties to request MHSA funding to employ additional school counselors, school nurses, school social workers, and school psychologists.	Ukiah Unified School District	*

Report Number I2020-2

Investigation of Improper Activities by State Agencies and Employees: Waste of State Funds, Misuse of Bereavement Leave, Misuse of State Resources, Dishonesty, and Supervisory Neglect of Duty (October 2020)

RECOMMENDATION	ENTITY	STATUS OF RECOMMENDATION
4. CDE should Immediately cease approving any exemptions from advertising vacant positions without appropriate legal authority.	California Department of Education	Resolved
5. CDE should immediately cease any higher-than-minimum salary approvals without proper justification.	California Department of Education	No Action Taken
6. CDE should work with the California Department of Human Resources (CalHR) to determine whether CDE's delegated authority to approve higher-than-minimum salaries should be withdrawn. If CalHR allows CDE to retain the authority, CDE should work with CalHR to develop eligibility, review, and documentation criteria for higher-than-minimum salary approval and to provide training to HR staff.	California Department of Education	No Action Taken
7. CDE should ensure that all HR staff and managers attend training on the State's hiring process to understand the constitutional requirement that appointments to state civil service be based on merit and open to competition.	California Department of Education	Resolved
8. CDE should work with CalHR and the State Personnel Board to determine whether any of the appointments that involved Manager B and Manager C's waiver process were illegal and take appropriate steps to void those appointments.	California Department of Education	Pending
9. CDE should take appropriate corrective and disciplinary actions against the managers and HR staff discussed in this report regarding the actions they took or their failures to take action.	California Department of Education	Resolved

* As of December 31, 2020, the entity has not provided a response to the California State Auditor (State Auditor) or the State Auditor has not assessed the entity's response.