

2009-118 AUDIT SCOPE AND OBJECTIVES—Department of Developmental Services, Regional Centers

The audit by the Bureau of State Audits will provide independently developed and verified information related to DDS and a sample of regional centers and would include, be not be limited to, the following:

1. Review and evaluate the laws, rules, and regulations significant to the audit objectives.
2. Examine DDS's oversight responsibilities for its regional centers and determine the extent to which DDS performs oversight at the regional centers selected for review.
3. Select a sample of paid invoices for the past two fiscal years at each regional center and determine if the activities for payment were reasonable and/or allowable under the law.
4. Select a sample of service provider contracts for the past two fiscal years at each regional center and evaluate the regional centers' policies and practices for awarding contracts to service providers including how the regional centers determine that the service providers can satisfy the needs of the consumers, whether past performance by a service provider was considered, and the potential for conflict of interest did not exist.
5. For a sample of current and past service providers, conduct a survey to obtain information on whether the providers are reluctant to file complaints for fear of retaliation or believe they experienced retaliation from the regional centers and the reason for their perceptions.
6. Determine if the regional centers' procedures for allowing public access to information on operations comply with the law. Specifically, determine if requests made in the past two fiscal years by service providers for public records were satisfied in a timely manner, within the requirements of the law, and in accordance with best practices.